

Tag	Attribute	Remark
Document		Document root tag
	IssuerName	Name of clearing member
	Ref	Outgoing document ID
	Date	Document creation date
	Type	Document type code: MUTUAL_TERMINATE_OBLIGATIONS_NOTICE
	Code	Document form: UKu-3
	Issuer	Additional clearing member code
Contract		Contract
	Date	Contract date
	Number	Contract number
Counteragent		Contract Counterparty
	Name	Contract Counterparty Name
	Code	Additional code of the Clearing Member – Contract Counterparty

Form UKu-3

Notice of Mutual Termination of Contract Obligations

No. ____

dated _____ 202__

Clearing Member	
Additional clearing member code	

We kindly request to terminate, by mutual agreement, the obligations under the Contract

No. _____ from _____ concluded on the SPIMEX trading floor between our
organization _____ and _____

(name of the counterparty under the Supply Contract)

at no penalty cost to either Party.

Authorized person

signature

/

print name of signatory

L. S.

20____

Report

Consolidated Report on Obligations and Requirements of the Clearing Member KOo-2

Form KOo-2

Consolidated Report on Obligations and Requirements of the Clearing Member

Business day date_____

Clearing Member: <Name, Unique code, Additional code>

Monetary Obligations and Claims

Clearing Register: _____

Commodity and transportation payment obligations

Contract No.	Product code	Clearing Member - Counterparty	Clearing admission date	Collateral monitoring start date	Collateral date	Delivery completion date	Status	Monetary obligation to pay for the Commodity on admission date, RUB	incl. VAT*, RUB	Transportation obligation, incl. VAT, RUB	Commodity delivery claim on admission date, UoM	Monetary obligation to pay for the Commodity as of current date, RUB	Transportation obligation as of current date, incl. VAT, RUB	Commodity delivery claim as of current date, UoM	Contract collateral, RUB	Cash position register	Clearing fee

Obligations to pay commodity surcharge (overdelivery)

Contract No.	Product code	Clearing Member - Counterparty	Clearing admission date	Collateral monitoring start date	Collateral date	Fulfilled orders delivery volume	Delivered commodity volume, UoM	Prepayment amount (for commodity), RUB	Actual delivered commodity value, RUB	Monetary obligation for additional payment on admission date, incl. VAT, RUB	Commodity claim for additional delivery on admission date, UoM	Monetary obligation for additional payment as of current date, incl. VAT, RUB	Commodity claim for additional delivery as of current date, UoM

Advance payment refund obligation

Claim to refund the advance payment for Commodity transportation

Contract No.	Product code	Clearing Member - Counterparty	Clearing admission date	Collateral monitoring start date	Collateral date	Transportation obligation, incl. VAT, RUB	Actual transportation cost, incl. VAT, RUB	Monetary claim to refund the advance payment for transportation on admission date, incl. VAT, RUB	Monetary claim to refund the advance payment for transportation as of current date, incl. VAT, RUB

Claim for additional Commodity transportation charges

Contract No.	Product code	Clearing Member - Counterparty	Clearing admission date	Collateral monitoring start date	Collateral date	Transportation obligation, incl. VAT, RUB	Actual transportation cost, incl. VAT, RUB	Monetary claim for additional Commodity transportation charges on admission date, incl. VAT, RUB	Monetary claim for additional Commodity transportation charges as of current date, incl. VAT, RUB

Claim to pay railroad demurrage charges

Contract No.	Product code	Clearing Member - Counterparty	Notice number	Notice date	Clearing admission date	Collateral monitoring start date	Collateral date	Monetary claim to pay railroad demurrage charges on admission date, w/o VAT, RUB	Monetary claim to pay railroad demurrage charges as of current date, w/o VAT, RUB

* - Information is provided for reference at the VAT rate effective on the date of admission of the Contract obligations to clearing.

Commodity Obligations

Commodity Position/ Commodity Register: _____

Commodity Delivery Obligations

Contract No.	Product code	Clearing Member - Counterparty	Clearing admission date	Collateral monitoring start date	Collateral date	Delivery completion date	Status	Monetary claim to pay for the Commodity on admission date, RUB	incl. VAT*, RUB	Transportation claim, incl. VAT, RUB	Commodity delivery obligation on admission date, UoM	Monetary claim to pay for the Commodity as of current date, RUB	Transportation claim as of current date, incl. VAT, RUB	Commodity delivery obligation as of current date, UoM	Contract collateral, RUB	Cash position register	Clearing fee

Additional commodity delivery obligation (overdelivery)

Contract No.	Product code	Clearing Member - Counterparty	Clearing admission date	Collateral monitoring start date	Collateral date	Fulfilled orders delivery volume, UoM	Delivered commodity volume, UoM	Prepayment amount (for commodity), RUB	Actual delivered commodity value, RUB	Monetary claim for additional Commodity payment on admission date, incl. VAT, RUB	Commodity obligation for additional delivery on admission date, UoM	Monetary claim for additional Commodity payment as of current date, incl. VAT, RUB	Commodity obligation for additional delivery as of current date, UoM

* - Information is provided for reference at the VAT rate effective on the date of admission of the Contract obligations to clearing.

To be completed for the Buyer:

Monetary Obligations and Claims:

Commodity and transportation payment obligations

Obligations to pay commodity surcharge (overdelivery)

Obligations for additional Commodity transportation charges

The Commodity Obligations section will be absent for the Buyer

Node	Attribute	Description	Format
report		Document root element	
	code	Report code	text
	date	Report business date	date (YYYY-MM-DD)
	name	Report name (Report on Obligations and Claims (XML))	text
report/participant		Clearing Member data block	
	Code_law	Unique clearing member code	text
	Code	Additional clearing member code	text
	pname	Name of clearing member	text
report/participant/cash		Information block on clearing member's monetary obligations and claims	
report/participant/cash/account		Information block on clearing member's clearing register	
	code	Clearing member's clearing register code	text
report/participant/cash/account/tradelist		Information block on commodity and transportation payment obligations	
report/participant/cash/account/tradelist/trade		Contract data block	
	trn	Contract No.	text
	issue_code	Product code	text
	contragent_code	Contract counterparty additional code	text
	preparation_date	Clearing admission date	date (YYYY-MM-DD)
	settl_date	Collateral monitoring start date	date (YYYY-MM-DD)
	provision_date	Collateral date	date (YYYY-MM-DD)
	trade_date	Delivery completion date	date (YYYY-MM-DD)
	status	Current contract obligation status	text
	value	Monetary obligation on admission date, RUB	value (#0,##)
	vat	Reference: VAT amount included in the contract price	value (#0,##)
	transport_value	Transportation obligation, incl. VAT, RUB	value (#0,##)
	quant	Commodity claim on admission date, UoM	quantity (#0,###)

	cur_value	Current monetary obligation, RUB	value (#0,##)
	cur_transport_value	Current transportation obligation, incl. VAT, RUB	value (#0,##)
	cur_quant	Current commodity claim, UoM	quantity (#0,###)
	pawn_value	Contract collateral, RUB	value (#0,##)
	pos_acc	Cash position register	text
	fee	Clearing service fee	value (#0,##)
report/participant/cash/account/extra_payments		Information block on obligations to pay commodity surcharge (overdelivery)	
report/participant/cash/account/extra_payments/trade		Contract data block	
	trn	Contract No.	text
	issue_code	Product code	text
	contragent_code	Contract counterparty additional code	text
	preparation_date	Clearing admission date	date (YYYY-MM-DD)
	settl_date	Collateral monitoring start date	date (YYYY-MM-DD)
	provision_date	Collateral date	date (YYYY-MM-DD)
	orders_quant	Fulfilled orders delivery volume, UoM	quantity (#0,###)
	fact_quant	Delivered commodity volume, UoM	quantity (#0,###)
	payments	Prepayment amount (for commodity), RUB	value (#0,##)
	fact_value	Actual delivered commodity value, RUB	value (#0,##)
	value	Monetary obligation for additional Commodity payment on admission date, incl. VAT, RUB	value (#0,##)
	quant	Commodity claim for additional delivery on admission date, UoM	quantity (#0,###)
	cur_value	Current monetary obligation for additional Commodity payment, incl. VAT, RUB	value (#0,##)
	cur_quant	Current commodity claim for additional delivery, UoM	quantity (#0,###)
report/participant/cash/account/return_advances		Information block on obligations to refund advance payment	
report/participant/cash/account/return_advances/trade		Contract data block	
	trn	Contract No.	text
	issue_code	Product code	text

	contragent_code	Contract counterparty additional code	text
	preparation_date	Clearing admission date	date (YYYY-MM-DD)
	settl_date	Collateral monitoring start date	date (YYYY-MM-DD)
	provision_date	Collateral date	date (YYYY-MM-DD)
	payments	Prepayment amount (for commodity), RUB	value (#0,##)
	fact_value	Actual delivered commodity value, RUB	value (#0,##)
	value	Monetary obligation for advance payment refund on admission date, incl. VAT, RUB	value (#0,##)
	cur_value	Current monetary obligation for advance payment refund, incl. VAT, RUB	value (#0,##)
report/participant/cash/account/extra_transport_payments		Information block on obligations for additional Commodity transportation charges	
report/participant/cash/account/extra_transport_payments/trade		Contract data block	
	trn	Contract No.	text
	issue_code	Product code	text
	contragent_code	Contract counterparty additional code	text
	preparation_date	Clearing admission date	date (YYYY-MM-DD)
	settl_date	Collateral monitoring start date	date (YYYY-MM-DD)
	provision_date	Collateral date	date (YYYY-MM-DD)
	transport_value	Transportation obligation, incl. VAT, RUB	value (#0,##)
	fact_transport_value	Actual transportation cost, incl. VAT, RUB	value (#0,##)
	value	Monetary obligation for additional Commodity transportation charges on admission date, incl. VAT, RUB	value (#0,##)

	cur_value	Monetary obligation for additional Commodity transportation charges as of current date, incl. VAT, RUB	value (#0,##)
report/participant/cash/account/return_transport_advances		Information block on obligations to refund the advance payment for Commodity transportation	
report/participant/cash/account/return_transport_advances/trade		Contract data block	
	trn	Contract No.	text
	issue_code	Product code	text
	contragent_code	Contract counterparty additional code	text
	preparation_date	Clearing admission date	date (YYYY-MM-DD)
	settl_date	Collateral monitoring start date	date (YYYY-MM-DD)
	provision_date	Collateral date	date (YYYY-MM-DD)
	transport_value	Transportation obligation, incl. VAT, RUB	value (#0,##)
	fact_transport_value	Actual transportation cost, incl. VAT, RUB	value (#0,##)
	value	Monetary obligation to refund the advance payment for transportation on admission date, incl. VAT, RUB	value (#0,##)
	cur_value	Monetary obligation to refund the advance payment for transportation as of current date, incl. VAT, RUB	value (#0,##)
report/participant/cash/account/railway_penalties		Information block on obligations to pay railroad demurrage charges	
report/participant/cash/account/railway_penalties/trade		Contract data block	
	trn	Contract No.	text
	issue_code	Product code	text
	contragent_code	Contract counterparty additional code	text
	gu45_number	Notice number	text

	gu45_date	Notice date	date (YYYY-MM-DD)
	preparation_date	Clearing admission date	date (YYYY-MM-DD)
	settl_date	Collateral monitoring start date	date (YYYY-MM-DD)
	provision_date	Collateral date	date (YYYY-MM-DD)
	value	Monetary obligation to pay railroad demurrage charges on admission date, w/o VAT, RUB	value (#0,##)
	cur_value	Current monetary obligation to pay railroad demurrage charges, w/o VAT, RUB	value (#0,##)
report/participant/cash/account/req_return_advances		Information block on claims for advance payment refund	
report/participant/cash/account/req_return_advances/trade		Contract data block	
	trn	Contract No.	text
	issue_code	Product code	text
	contragent_code	Contract counterparty additional code	text
	preparation_date	Clearing admission date	date (YYYY-MM-DD)
	settl_date	Collateral monitoring start date	date (YYYY-MM-DD)
	provision_date	Collateral date	date (YYYY-MM-DD)
	payments	Prepayment amount (for commodity), RUB	value (#0,##)
	fact_value	Actual delivered commodity value, RUB	value (#0,##)
	value	Monetary claim for advance payment refund on admission date, incl. VAT, RUB	value (#0,##)
	cur_value	Current monetary claim for advance payment refund, incl. VAT, RUB	value (#0,##)

report/participant/cash/account/req_return_transport_advances		Information block on claims to refund the advance payment for Commodity transportation	
report/participant/cash/account/req_return_transport_advances/trade		Contract data block	
	trn	Contract No.	text
	issue_code	Product code	text
	contragent_code	Contract counterparty additional code	text
	preparation_date	Clearing admission date	date (YYYY-MM-DD)
	settl_date	Collateral monitoring start date	date (YYYY-MM-DD)
	provision_date	Collateral date	date (YYYY-MM-DD)
	transport_value	Transportation obligation, incl. VAT, RUB	value (#0,##)
	fact_transport_value	Actual transportation cost, incl. VAT, RUB	value (#0,##)
	value	Monetary claim to refund the advance payment for transportation on admission date, incl. VAT, RUB	value (#0,##)
	cur_value	Monetary claim to refund the advance payment for transportation as of current date, incl. VAT, RUB	value (#0,##)
report/participant/cash/account/req_extra_transport_payments		Information block on claims for additional Commodity transportation charges	
report/participant/cash/account/req_extra_transport_payments/trade		Contract data block	
	trn	Contract No.	text
	issue_code	Product code	text
	contragent_code	Contract counterparty additional code	text
	preparation_date	Clearing admission date	date (YYYY-MM-DD)

	settl_date	Collateral monitoring start date	date (YYYY-MM-DD)
	provision_date	Collateral date	date (YYYY-MM-DD)
	transport_value	Transportation obligation, incl. VAT, RUB	value (#0,##)
	fact_transport_value	Actual transportation cost, incl. VAT, RUB	value (#0,##)
	value	Monetary claim for additional Commodity transportation charges on admission date, incl. VAT, RUB	value (#0,##)
	cur_value	Monetary claim for additional Commodity transportation charges as of current date, incl. VAT, RUB	value (#0,##)
report/participant/cash/account/req_railway_penalties		Information block on claims to pay railroad demurrage charges	
report/participant/cash/account/req_railway_penalties/trade		Contract data block	
	trn	Contract No.	text
	issue_code	Product code	text
	contragent_code	Contract counterparty additional code	text
	gu45_number	Notice number	text
	gu45_date	Notice date	date (YYYY-MM-DD)
	preparation_date	Clearing admission date	date (YYYY-MM-DD)
	settl_date	Collateral monitoring start date	date (YYYY-MM-DD)
	provision_date	Collateral date	date (YYYY-MM-DD)
	value	Monetary claim to pay railroad demurrage charges on admission date, w/o VAT, RUB	value (#0,##)
	cur_value	Current monetary claim to pay railroad demurrage charges, w/o VAT, RUB	value (#0,##)

report/participant/comm		Information block on clearing member's commodity obligations	
report/participant/comm/ac count		Information block clearing member's commodity position / commodity register	
	code	Clearing member's commodity position / commodity register code	text
report/participant/comm/ac count/tradelist		Information block on commodity delivery obligations	
report/participant/comm/ac count/tradelist/trade		Contract data block	
	trn	Contract No.	text
	issue_code	Product code	text
	contragent_code	Contract counterparty additional code	text
	preparation_date	Clearing admission date	date (YYYY-MM-DD)
	settl_date	Collateral monitoring start date	date (YYYY-MM-DD)
	provision_date	Collateral date	date (YYYY-MM-DD)
	trade_date	Delivery completion date	date (YYYY-MM-DD)
	status	Current contract obligation status	text
	value	Monetary claim on admission date, RUB	value (#0,##)
	vat	Reference: VAT amount included in the contract price	value (#0,##)
	transport_value	Transportation claim, incl. VAT, RUB	value (#0,##)
	quant	Commodity obligation on admission date, UoM	quantity (#0,###)
	cur_value	Current monetary claim, RUB	value (#0,##)
	cur_transport_value	Current transportation claim, incl. VAT, RUB	value (#0,##)
	cur_quant	Current commodity obligation, UoM	quantity (#0,###)

	pawn_value	Contract collateral, RUB	value (#0,##)
	pos_acc	Cash position register	text
	fee	Clearing service fee	value (#0,##)
report/participant/comm/ac count/supply_balances		Information block on obligations for additional commodity delivery (overdelivery)	
report/participant/comm/ac count/supply_balances/trade		Contract data block	
	trn	Contract No.	text
	issue_code	Product code	text
	contragent_code	Contract counterparty additional code	text
	preparation_date	Clearing admission date	date (YYYY- MM-DD)
	settl_date	Collateral monitoring start date	date (YYYY- MM-DD)
	provision_date	Collateral date	date (YYYY- MM-DD)
	orders_quant	Fulfilled orders delivery volume, UoM	quantity (#0,###)
	fact_quant	Delivered commodity volume, UoM	quantity (#0,###)
	payments	Prepayment amount (for commodity), RUB	value (#0,##)
	fact_value	Actual delivered commodity value, RUB	value (#0,##)
	value	Monetary claim for additional commodity payment on admission date, incl. VAT, RUB	value (#0,##)
	quant	Commodity obligation for additional delivery on admission date, UoM	quantity (#0,###)
	cur_value	Current monetary claim for additional commodity payment, incl. VAT, RUB	value (#0,##)
	cur_quant	Current obligation for additional commodity delivery, UoM	quantity (#0,###)

Statement of funds flow across the Clearing Member's Monetary Position Registers

Business day date _____

Clearing Member: <Name, Unique code, Additional code>

Monetary Position Register number:

Asset type: Cash funds

Initial value (beginning of day):	Transaction amount, RUB		Register total after transaction, RUB	Clearing bank account transaction date
	Credit	Debit		

Closing register balance (end of day): RUB

Incl. free limit (end of day): RUB

Asset type: Property rights arising from bank guarantees

Initial value (beginning of day): RUB

Incl. free limit (beginning of day): RUB

Transaction number	Transaction amount, RUB		Register total after transaction, RUB
	Credit	Debit	

Closing register balance (end of day): RUB

Incl. free limit (end of day): RUB

Total asset value

Initial value (beginning of day): RUB

Incl. free limit (beginning of day): RUB

Closing register balance (end of day): RUB

Incl. free limit (end of day): RUB

XML Document Format (K0o-3)

Node	Attribute	Description	Format
report		Document root element	
	code	Report code	text
	date	Report business date	date (YYYY-MM-DD)
	name	Report name (Statement of funds flow across monetary position registers)	text
report/participant		Clearing Member data block	
	Code_law	Unique clearing member code	text
	Code	Additional clearing member code	text
	pname	Name of clearing member	text
report/participant/account		Monetary position register data block (total asset amount)	
	code	Monetary position register number	text
	in	Opening asset balance for the business day	value (#0,##)
	in_avai	Opening free asset balance for the business day	value (#0,##)
	out	Closing asset balance for the business day	value (#0,##)
	out_avai	Free asset balance at the end of the business day	value (#0,##)
report/participant/account/active		Asset data block	
	atype	Asset type (RUR or BGR)	text
	in	Opening asset balance for the business day	value (#0,##)
	in_avai	Opening free asset balance for the business day	value (#0,##)
	out	Closing asset balance for the business day	value (#0,##)
	out_avai	Closing asset balance for the business day	value (#0,##)
report/participant/account/active/tradelist		Transaction list data block	
report/participant/account/active/tradelist/trade		Transaction data block	
	credit	Credit amount	value (#0,##)
	debit	Debit amount	value (#0,##)
	trn	Clearing system transaction number	text
	value	Register balance after transaction	value (#0,##)
	payment_date	Clearing bank account transaction date	date (YYYY-MM-DD)

Statement of funds flow across the Clearing Member's Clearing Registers, KOo-4

Statement of funds flow across the Clearing Member's Clearing Registers

Clearing Member: <Name, Unique code, Additional code>

Business day date_____

Register number:

Initial value (beginning of day): RUB

Incl. free limit (beginning of day): RUB

Payment transaction number	Transaction amount, RUB				Post-transaction register values, RUB		Contract No.	Status	CM contract type	Product code	Additional code of the Clearing Member – Contract Counterparty	Name of Clearing Member – Counterparty	Clearing bank account transaction date	Transaction type	Transaction document number	Document date
	Credit	Debit	Block	Release	Register total	Incl. free limit										

Closing register balance (end of day): RUB

Incl. free limit (end of day): RUB

Node	Attribute	Description	Format
report		Document root element	
	code	report code	text
	date	report business date	date (YYYY-MM-DD)
	name	Report name (Statement of movement across clearing registers)	text
report/participant		Clearing Member data block	
	Code_law	Unique Clearing Member code	text
	Code	Additional clearing member code	text
	pname	Name of clearing member	text
report/participant/account		Clearing register data block	
	code	Clearing register code	text
	in	Initial value (beginning of business day)	value (#0,##)
	in_avai	Free limit (beginning of business day)	value (#0,##)
	out	Register total (end of business day)	value (#0,##)
	out_avai	Free limit (end of business day)	value (#0,##)
report/participant/account/tradelist		Transaction list data block	
report/participant/account/tradelist/trade		Transaction data block	
	credit	Credit volume	value (#0,##)
	debit	Debit volume	value (#0,##)
	trade_ref	Contract number related to the transaction	text
	trade_status	Current contract obligation status	text
	trn	Payment transaction number	text
	order_type	CM contract type	text
	issue_code	Product code	text
	value	Post-transaction register value	value (#0,##)
	block	Blocked volume	value (#0,##)
	release	Released volume	value (#0,##)
	value_avai	Post-transaction free register limit	value (#0,##)

	counteragent _code	Additional code of the Clearing Member – Contract Counterparty	text
	counteragent _name	Name of Clearing Member – Contract Counterparty	text
	payment_dat e	Clearing bank account transaction date	date (YYYY-MM-DD)
	operation_typ e	Transaction type	text
	doc_number	Transaction document number	text
	doc_date	Document date	date (YYYY-MM-DD)