

APPROVED

2 June 2025 (Order No. 648/25)

**Regulations on Provision of Documents
for Admission to Organized Trading of the Saint Petersburg International Mercantile Exchange**

**Moscow
2025**

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1. GENERAL PROVISIONS

1.1. Regulations on Provision of Documents for Admission to Organized Trading of the Saint Petersburg International Mercantile Exchange (hereinafter – the Regulations on Provision of Documents) establish the forms and/or formats and the method for submitting documents provided by Applicants and/or Trading Participants to SPIMEX (hereinafter – also the Exchange) in accordance with the Rules of Admission to Organized Trading of the Saint Petersburg International Mercantile Exchange (hereinafter – the Rules of Admission).

The Regulations on Provision of Documents shall be approved by the SPIMEX CEO or a person authorized by the CEO. The effective date of the approved document shall be determined by a decision of the SPIMEX CEO or a person authorized by the CEO. The Regulations on Provision of Documents approved by the SPIMEX CEO or a person authorized by the CEO shall be disclosed on the SPIMEX official website (<https://spimex.com>) not later than five (5) business days prior to the effective date of the approved document, unless otherwise determined by a decision of the SPIMEX CEO or a person authorized by the CEO.

1.2. The terms and definitions used in these Regulations on Provision of Documents shall have the meanings established by the Rules of Admission, as well as by the laws, regulations of the Bank of Russia, and other statutory instruments of the Russian Federation.

2. DOCUMENTS PROVIDED BY THE APPLICANT FOR ADMISSION TO ORGANIZED TRADING AND CONDUCT OF THE MANDATORY PERIODIC IDENTIFICATION OF TRADING PARTICIPANTS

2.1. To be admitted to the SPIMEX organized trading in accordance with Section 6 of the Rules of Admission, the Applicant shall submit documents in accordance with the list given in Table 1, Clause 2.2 herein (hereinafter – Table 1). When conducting mandatory periodic identification of Trading Participants according to the procedure established in Section 13 of the Rules of Admission, the questionnaire shall be submitted to the Exchange via the SPIMEX Personal Account Information System or using any other method ensuring that SPIMEX receives it. The forms of the documents listed in Table 1 are given in Appendix 1 hereto.

2.2. Formats and methods for document submission:

2.2.1. in the form of an electronic document submitted through an organization acting as a legally significant electronic data interchange (EDI) provider and signed with the encrypted and certified digital signature (hereinafter – the ECDS) of the authorized person of the Applicant/Trading Participant and/or the ECDS of the authorized person of a government authority;

2.2.2. in electronic form as an electronic copy of the document (a paper document converted into electronic form by scanning while preserving its details) submitted through an organization acting as a legally significant electronic data interchange (EDI) provider and certified with the ECDS of the Applicant's authorized person;

2.2.3. in the form of a paper document signed by the Applicant/Trading Participant and forwarded to SPIMEX via postal service or courier;

2.2.4. in the form of a copy of a paper document notarized and sent to SPIMEX via postal service or courier;

2.2.5. in the form of a scanned copy of the document (for constituent documents and documents containing financial statements, the scanned copy must bear the mark of the registering authority) submitted via e-mail or through the Exchange's document package service available on the SPIMEX official website.

Table 1

Item No.	Document title	Format and method for document submission
Documents for obtaining admission to the SPIMEX organized trading submitted in accordance with Clause 6.3 of the Rules of Admission – for legal entities established under the legislation of the Russian Federation		
1.	The Applicant's constituent documents with all effective amendments and supplements	Cl. 2.2.2/Cl. 2.2.5
2.	The Applicant's license to carry out a type of activity subject to licensing under the legislation of the Russian Federation, if entering into contracts for a specific commodity in the SPIMEX Commodities Sections is related to carrying out a licensed activity type	Cl. 2.2.2
3.	Balance Sheet (Form No. 1) and Profit and Loss Statement (Form No. 2) for the last reporting year, with the tax authority's acceptance stamps	Cl. 2.2.2/Cl. 2.2.5
4.	Application for admission to organized trading in accordance with Form 1	Cl. 2.2.1

5.	Questionnaire (for legal entities established under the legislation of the Russian Federation) according to Form <u>2A</u>	Cl. 2.2.1
6.	Consent to personal data processing according to Form <u>3</u>	Cl. 2.2.1/Cl. 2.2.3*
7.	Agreement on Provision of Services for Conduct of Organized Trading (for Applicants in the Exchange Member category) according to Form <u>4A</u>	Cl. 2.2.1/Cl. 2.2.3
8.	Agreement on Provision of Services for Conduct of Organized Trading (for Applicants in the categories of Section Member, Section Special Member) according to Form <u>4B</u>	Cl. 2.2.1/Cl. 2.2.3
9.	Agreement on Provision of Services for Conduct of Organized Trading (for Applicants in the categories of Temporary Section Member, Section Trade Visitor, Section Segment Trade Visitor) according to Form <u>4C</u>	Cl. 2.2.1/Cl. 2.2.3
10.	Agreement on Provision to SPIMEX Trading Participant of Software and/or Technical Means for Remote Access to Services Rendered by the Exchange - according to Form <u>6</u>	Cl. 2.2.1/Cl. 2.2.3
Documents for obtaining admission to SPIMEX organized trading submitted in accordance with Clause 6.4 of the Rules of Admission – for individual entrepreneurs		
1.	A primary identification document of a citizen of the Russian Federation. A foreign citizen or a stateless person shall provide a document determined by the federal law or recognized in accordance with the international treaty of the Russian Federation as an identification document of a foreign citizen or a stateless person	Cl. 2.2.2/Cl. 2.2.5
2.	The Applicant's license to carry out a type of activity subject to licensing under the legislation of the Russian Federation, if entering into contracts for a specific commodity in the SPIMEX Commodities Sections is related to carrying out a licensed activity type	Cl. 2.2.2/Cl. 2.2.5
3.	Balance Sheet (Form No. 1) and Profit and Loss Statement (Form No. 2) for the last reporting year, with the tax authority's acceptance stamps	Cl. 2.2.2/Cl. 2.2.5
4.	If, in accordance with the tax and levy legislation of the Russian Federation, the individual entrepreneur keeps records of income or income and expenses and/or other taxable items, instead of the Balance Sheet (Form 1) and Profit and Loss Statement (Form 2) the individual entrepreneur shall provide extracts from their ledger of income and expenditure and economic operations certified by individual entrepreneur or extracts from the ledger of income and expenditure of individual entrepreneurs applying the simplified taxation system that contain operating results for the last reporting year	Cl. 2.2.2/Cl. 2.2.5
5.	Application for admission to organized trading in accordance with Form <u>1</u>	Cl. 2.2.1

6.	Questionnaire (for individual entrepreneurs established under the legislation of the Russian Federation) according to Form <u>2B</u>	Cl. 2.2.1
7.	Consent to personal data processing according to Form <u>3</u>	Cl. 2.2.1/Cl. 2.2.3*
8.	Agreement on Provision of Services for Conduct of Organized Trading (for Applicants in the Exchange Member category) according to Form <u>4A</u>	Cl. 2.2.1/Cl. 2.2.3
9.	Agreement on Provision of Services for Conduct of Organized Trading (for Applicants in the categories of Section Member, Special Section Member) according to Form <u>4B</u>	Cl. 2.2.1/Cl. 2.2.3
10.	Agreement on Provision of Services for Conduct of Organized Trading (for Applicants in the categories of Temporary Section Member, Section Trade Visitor, Section Segment Trade Visitor) according to Form <u>4C</u>	Cl. 2.2.1/Cl. 2.2.3
11.	Agreement on Provision to SPIMEX Trading Participant of Software and/or Technical Means for Remote Access to Services Rendered by the Exchange - according to Form <u>6</u>	Cl. 2.2.1/Cl. 2.2.3
Documents for obtaining admission to SPIMEX organized trading submitted in accordance with Clause 6.5 of the Rules of Admission – for foreign legal entities		
1.	Constituent documents (notarized copies)	Cl. 2.2.4
2.	An extract from the commercial or banking register or another state register of legal entities of the foreign legal entity's country of origin (notarized copies)	Cl. 2.2.4
3.	A document confirming tax registration of the foreign legal entity in the country of origin of the foreign legal entity (confirmation that the legal entity is non-resident, certificate of legal capacity (legal status) of the company, etc.), and in case of tax registration in the Russian Federation — registration with the tax authority of the Russian Federation (notarized copies)	Cl. 2.2.4
4.	A document confirming the authority of a person to carry out legal actions on behalf of a foreign legal entity, a power of attorney of a person authorized to act on behalf of a legal entity (original or notarized copies)	Cl. 2.2.4
5.	A document confirming election (appointment) as the person entitled to act on behalf of the legal entity without a power of attorney (order, resolution of the founders, resolution of the general meeting of shareholders/members, resolution of other governing body, etc.) (original or notarized copies)	Cl. 2.2.4
6.	A signature specimen of the authorized signatory of the legal entity and, if any, a specimen of a seal of the legal entity (for example, a card with specimens of a seal and signatures, a book of signatures of authorized representatives, a power of attorney containing a signature of the authorized representative) (a copy certified by a notary or a Russian credit institution)	Cl. 2.2.4

7.	An audit report on the results of the organization's activities over the past year with the attached balance sheet and the profit and loss statement executed in accordance with the International Standards on Auditing for organizations, and in the absence thereof — other financial reporting documents (notarized copies)	Cl. 2.2.4
8.	Application for admission to organized trading in accordance with Form <u>1</u>	Cl. 2.2.3
9.	Questionnaire (for foreign legal entities) according to Form <u>2C</u>	Cl. 2.2.3
10.	Consent to personal data processing according to Form <u>3</u>	Cl. 2.2.3
11.	Agreement on Provision of Services for Conduct of Organized Trading (for Applicants in the category of Non-Resident Exchange Member) according to Form <u>5A</u>	Cl. 2.2.3
12.	Agreement on Provision of Services for Conduct of Organized Trading (for Applicants in the category of Non-Resident Section Member) according to Form <u>5B</u>	Cl. 2.2.3
13.	Agreement on Provision of Services for Conduct of Organized Trading (for Applicants in the categories of Non-Resident Temporary Section Member, Non-Resident Section Trade Visitor, Non-Resident Section Segment Trade Visitor) according to Form <u>5C</u>	Cl. 2.2.3
14.	Agreement on Provision of Services for Conduct of Organized Trading (for Applicants in the categories of Non-Resident Temporary Exchange Member, Non-Resident Exchange Trade Visitor) according to Form <u>5D</u>	Cl. 2.2.3
15.	Agreement on Provision to SPIMEX Trading Participant of Software and/or Technical Means for Remote Access to Services Rendered by the Exchange - according to Form <u>6</u>	Cl. 2.2.3

2.3. Documents (letters, responses to requests, financial statements, documents confirming various changes in the questionnaire data of organizations and individual entrepreneurs, etc.), which are required to be submitted by the Rules of Admission for Applicants and Trading Participants (except for the documents listed in Table 1), shall be submitted in the format and by the method specified in subclauses 2.2.1 and 2.2.2 of Clause 2.2 herein, unless another format or method of submission is established by the Rules of Admission.

2.4. Authority of the Applicant's representative acting based on a power of attorney must be confirmed by providing such duly executed power of attorney. If the power of attorney is issued by a person who is not the head of the organization and is not authorized to issue such power of attorney by the constituent documents and, in turn, is acting based on a power of attorney, such a power of attorney which is used for issuing the power of attorney to the Applicant's representative shall be also provided to the Exchange.

2.5. The documents submitted by the Applicant and which list is given in Table 1 herein shall be accepted within 14 business days, provided that all documents specified in this clause of the Regulations are available. The date of documents acceptance shall be the date on which the set of documents is registered by the Exchange.

2.6. The Exchange provides, via its official website, a document package service for legal entities established under the legislation of the Russian Federation and for individual entrepreneurs listed in Table 1 herein, to obtain admission to organized trading.

The documents generated using the service specified in the first paragraph of this clause of the Regulations may be marked by the Exchange with optical labels (QR codes, barcodes, etc.) containing information about the person who generated the document, the document type, and its content.

2.7. In the documents generated using the document package service in accordance with Clause 2.6 herein and submitted by the Applicant in the format and by the method specified in subclause 2.2.1, Clause 2.2 herein, the fields such as Signature, Seal, and Document Date intended for affixing a handwritten signature and a seal impression shall not be filled in.

2.8. By submitting the documents listed in Table 1 herein, the Applicant confirms the accuracy and relevance of the information contained therein as of the date of their actual signing with the ECDS (according to the trusted timestamping¹).

2.9. The Exchange shall notify the Applicant of the refusal to consider the documents submitted by the Applicant by sending an information message from the Exchange's email address containing the domain name "...@s-pimex.ru" or "...@spimex.com" to the email address specified in the Questionnaire and/or via the electronic data interchange (EDI) provider.

2.10. The Exchange shall notify the Applicant of the refusal to grant admission to organized trading, including the refusal to conclude the Agreement on Provision of Services on Conduct of Organized Trading, indicating the reason for such refusal, by sending an official letter from the Exchange's email address containing the domain name "...@s-pimex.ru" or "...@spimex.com" to the email address specified in the Questionnaire and/or via the electronic data interchange (EDI) provider.

*- in the absence of the possibility for the Applicant's authorized person, acting under a power of attorney, to submit the document by the method specified in subclause 2.2.1, Clause 2 herein.

¹ Pursuant to Federal Law No. 63-FZ dated 6 April 2011, On Digital Signature, a trusted timestamping means reliable information in electronic form indicating the date and time of signing an electronic document with an digital signature. Such information is created and verified by a trusted third party, a certification authority, or an information system operator, and is obtained at the moment the electronic document is signed with a digital signature according to the procedure established by the authorized federal body, using software and/or hardware that has undergone a conformity assessment in accordance with the requirements established pursuant to Federal Law No. 63-FZ dated 6 April 2011, On Digital Signature.

3. DOCUMENTS PROVIDED BY THE TRADING PARTICIPANT FOR THE ACCREDITATION OF AUTHORIZED REPRESENTATIVES

3.1. For the accreditation of an authorized representative, if the Trading Participant's representative powers are not certified by the Trading Participant's constituent documents, in accordance with Section 6 of the Rules of Admission the Trading Participant shall submit to the Exchange one of the powers of attorney listed in Table 2 of Clause 3.2 herein (hereinafter – Table 2). The forms of the powers of attorney listed in Table 2 are given in Appendix 2 hereto.

3.2. Formats and methods of provision of powers of attorney are given in Table 2:

Table 2

Document title	Format and method for document submission
Power of attorney to a trader with authority to conduct transactions on behalf of the Trading Participant and all its Customers — according to Form <u>7A</u>	Cl. 2.2.1/Cl. 2.2.3
Power of attorney to a trader with authority to conduct transactions as instructed by one or several Customers — according to Form <u>7B</u>	Cl. 2.2.1/Cl. 2.2.3
Power of attorney to a trader with authority to conduct transactions on behalf and for account of one or more Customers being foreign persons (for foreign legal entities) — according to Form <u>7C</u>	Cl. 2.2.1/Cl. 2.2.3
Power of attorney to an analyst — according to Form <u>7D</u>	Cl. 2.2.1/Cl. 2.2.3
Power of attorney for obtaining information and reporting documents on trading results in the Section — according to Form <u>7D</u>	Cl. 2.2.1/Cl. 2.2.3

4. DOCUMENTS PROVIDED BY THE TRADING PARTICIPANT FOR INCLUSION IN THE LIST OF TRADING PARTICIPANTS ACTING ON BEHALF AND FOR ACCOUNT OF OTHER PERSONS (for the Trading Participant categories such as Exchange Member/Non-Resident Exchange Member, Section Member/Non-Resident Section Member, Temporary Section Member/ Non-Resident Temporary Section Member)

4.1. For the inclusion of a Trading Participant in the List of Trading Participants Acting on Behalf and for Account of Other Persons, and for the publication of information about such a Trading Participant on the Exchange's website, the Trading Participant, in accordance with Section 8 of the Rules of Admission, shall submit to the Exchange one of the applications listed in Table 3, Clause 4.2 herein (hereinafter – Table 3). The forms of the applications listed in Table 3 are given in Appendix 3 hereto.

4.2. Formats and methods of provision of applications are given in Table 3:

Table 3

Document title	Format and method for document submission
Application for inclusion in the List of Trading Participants Acting on Behalf and for Account of Other Persons, of the Saint Petersburg International Mercantile Exchange (for Trading Participants in SPIMEX Commodities Sections – legal entities established under the legislation of the Russian Federation) — in accordance with Form <u>9A</u>	Cl. 2.2.1
Application for inclusion in the List of Trading Participants Acting on Behalf and for Account of Other Persons, of the Saint Petersburg International Mercantile Exchange (for Trading Participants in SPIMEX Commodities Sections – foreign legal entities) — in accordance with Form <u>9B</u>	Cl. 2.2.1/ Cl. 2.2.3 for Trading Participants - foreign legal entities
Application for posting information on SPIMEX website as a List of Trading Participants Acting on Behalf and for Account of Other Persons (for Trading Participants – legal entities established under the legislation of the Russian Federation and holding a license as a professional securities market participant) — in accordance with Form <u>10A</u>	Cl. 2.2.1
Application for posting information on SPIMEX website as a List of Trading Participants Acting on Behalf and for Account of Other Persons (for Trading Participants in the SPIMEX Derivatives Section – foreign legal entities) — in accordance with Form <u>10B</u>	Cl. 2.2.1/ Cl. 2.2.3 for Trading Participants - foreign legal entities

5. DOCUMENTS PROVIDED BY TRADING PARTICIPANTS TO TERMINATE OR EXTEND THE AGREEMENT ON PROVISION OF SERVICES ON CONDUCT OF ORGANIZED TRADING

5.1. To terminate the admission in organized trading (termination of the Exchange's services through the termination of the Agreement on Provision of Services for Conduct of Organized Trading) in accordance with Section 12 of the Rules of Admission, or to extend the term of the Agreement on Provision of Services for Conduct of Organized Trading in cases provided for in Section 4 of the Rules of Admission and Appendices 2–10 to the Rules of Admission, the Trading Participant may submit to the Exchange the application specified in Table 4, Clause 5.1 herein (hereinafter – Table 4). The forms of the applications listed in Table 4 are given in Appendix 4 hereto.

5.2. Formats and methods of provision of applications are given in Table 4:

Table 4

Document title	Format and method for document submission
Application for termination of the Agreement on Provision of Services on Conduct of Organized Trading (Form 11)	Cl. 2.2.1/ Cl. 2.2.3
Application for extension of the Agreement on Provision of Services on Conduct of Organized Trading concluded with SPIMEX (Form 12)	Cl. 2.2.1

**Forms of documents submitted by the Applicant
for obtaining admission to organized trading
and for the mandatory periodic identification of Trading Participants**

Form 1

[ON THE ORGANIZATION'S LETTERHEAD]

Attn. SPIMEX CEO

**APPLICATION
for admission to organized trading**

I hereby request admission to SPIMEX organized trading

(hereinafter – the Applicant)

in the Category:

(specify the Category and the Section name)

The Applicant hereby confirms their consent to use a basic digital signature and an encrypted and certified digital signature when submitting applications and entering into agreements in SPIMEX organized trading in accordance with the requirements of the legislation of the Russian Federation and the Rules of Organized Trading.

The Applicant confirms that this application for admission to organized trading and the documents attached hereto comply with the requirements of the laws and other regulations of the Russian Federation, the country of origin of the foreign legal entity, as well as with the Rules of Admission to Organized Trading of the Saint Petersburg International Mercantile Exchange.

The Applicant acknowledges that they have read and agree to the Rules of Organized Trading.

Position
(Head of the organization
or other authorized person)

_____/_____/_____
(signature) (Full name)

_____ 20__

Note. If the person signing the Application acts under a power of attorney, a duly certified copy of such power of attorney confirming the authority of the person to sign the Application shall be attached hereto.

Form 2C

Foreign Legal Entity Questionnaire

1.	Full name of the organization (as stated in the constituent documents)	
2.	Short name of the organization (as stated in the constituent documents)	
3.	Full name of the organization in Russian	
4.	Full name of the organization in Latin transliteration	
5.	Country of incorporation	
6.	Title and number of the document evidencing the registration of the legal entity	
7.	Document issue date	
8.	Taxpayer Identification Number (INN/FCC) or taxpayer identification code in the country of registration, or its equivalent	
9.	Registered address	
10.	Correspondence address (postal)	
11.	Actual address	
12.	Full name of the contact person(s)	
	Position/Note	
	Phone number	
	E-mail address	
	...	
	...	
	...	
	...	
13.	Contacts for submitting requisite applications: - phone number - e-mail address	

Position
(Head of the organization)

or other authorized person)

_____/_____
(signature) (Full name)

_____ 20__

*Consent Form for Processing of Personal Data of
SPIMEX Customers*

CONSENT TO PERSONAL DATA PROCESSING

I, the undersigned, _____

(last name, first name, patronymic)

Passport (or identity document for an individual who is not a citizen of the Russian Federation)

(series and number, issue date, name of the issuing authority)

in accordance with Federal Law No. 152-FZ dated 27 July 2006, On Personal Data (hereinafter – the Personal Data Law), I hereby give consent to personal data processing (hereinafter – the Consent), according to the procedure and to the extent set forth below, to the Saint Petersburg International Mercantile Exchange (INN (Taxpayer Identification Number) 7840389730), registered at 69–71 Marata Street, Building A, Room 7-N, Saint Petersburg 191119 (hereinafter – SPIMEX, the Company, the Operator) for the purpose of participation in organized trading conducted by SPIMEX.

List of Personal Data Subject to Processing under this Consent:

- Last name, first name, patronymic;
- Identity document details;
- Contact information (mobile phone number, work phone number, email address).

The processing of the personal data listed above may be carried out with or without the use of automated means and includes: collection, recording, systematization, accumulation, storage, clarification (updating, modification), retrieval, use, transfer (provision, access), anonymization, blocking, deletion, destruction, and other actions provided for by the Personal Data Law, including the transfer (provision, access) of personal data to third parties with whom the Operator has concluded an agreement on non-disclosure and ensuring the security of personal data for the purposes specified above.

I hereby acknowledge and confirm that the personal data listed in this Consent may be transferred by SPIMEX in accordance with the requirements of the legislation of the Russian Federation to authorized regulatory, supervisory, registration, and oversight authorities and services (including, but not limited to, the Bank of Russia and antimonopoly authorities).

The Consent shall remain valid until the purposes of personal data processing by SPIMEX and third parties have been fulfilled, or until the necessity of achieving such purposes no longer exists.

This Consent may be revoked by me, in whole or in part, at any time by submitting a written Request to Revoke Consent to Personal Data Processing to SPIMEX not less than 30 days before the intended date of revocation.

Upon revocation of the Consent, SPIMEX shall stop processing my personal data and destroy it, except for those personal data which further processing is mandated by the Operator under the laws of the Russian Federation.

_____ 20_____/_____

(Full name) (signature)

AGREEMENT NO.
on Provision of Services for Conduct of Organized Trading

Moscow

The Saint Petersburg International Mercantile Exchange (SPIMEX), hereinafter referred to as the Exchange, represented by _____, acting on the basis of _____, on the one hand, and _____, hereinafter referred to as the Company, represented by _____, acting on the basis of _____, on the other hand, collectively referred to as the Parties, have entered into this Agreement on the Provision of Services for Conduct of Organized Trading (hereinafter – the Agreement) as follows:

1. The Exchange shall undertake to provide the Company on a regular (systematic) basis with services for conducting organized trading in all SPIMEX sections in accordance with the Rules of Admission to Organized Trading of the Saint Petersburg International Mercantile Exchange, the Rules of Organized Trading in the respective SPIMEX sections, and other SPIMEX internal documents (hereinafter – the Rules of Organized Trading), adopted in accordance with the requirements of the legislation of the Russian Federation and the SPIMEX Articles of Association. The Company shall undertake to make payment for the services specified herein.

2. This Agreement is executed by the Company's accession hereto. The terms, procedures for the provision of services, their composition and payment procedures, and other rights and obligations related to the conduct of organized trading, as established by the Rules of Organized Trading, shall form an integral part of this Agreement. The terms and definitions used in this Agreement shall have the meanings established in the SPIMEX Rules of Organized Trading, as well as in the laws and other regulations of the Russian Federation.

3. Pursuant to the Rules of Admission to Organized Trading of the Saint Petersburg International Mercantile Exchange and based on this Agreement, the Exchange shall undertake to admit the Company to organized trading in the Non-Resident Exchange Member category and provide it with the right to participate in organized trading in all SPIMEX sections. The Company shall undertake to pay the fee for the right to participate in organized trading as a Non-Resident Exchange Member / an additional fee for changing the category of a Non-Resident Section Member in the Section(s) _____ to the category of Non-Resident Exchange Member in the amount of _____ (_____) rubles, VAT free.

The Company shall pay the fee within 15 (fifteen) business days from the date of signing this Agreement by the Parties. Should the fee for the right to participate in organized trading not be received in the Exchange's settlement account within the stipulated period, this Agreement shall be considered terminated, and the right of admission to trading shall not be granted as of the day immediately following the last day of the payment term.

The Company shall pay the fee for the right to participate in organized trading in full by means of a non-cash transfer to the Exchange's settlement account specified herein. The Exchange may unilaterally amend its banking details, provided that the Company is duly notified in writing of any such change.

The Company shall be deemed admitted and granted the right to participate in organized trading on the date when the fee for such participation is credited to the Exchange's settlement account.

4. Pursuant to this Agreement, the Company is obliged to pay a fee for the registration of contracts executed during organized trading, hereinafter referred to as the Exchange Fee.

The tariffs (amounts) of the Exchange Fee shall be determined by resolutions of the Exchange's authorized bodies and published on the SPIMEX website.

The Company shall pay the Exchange Fee monthly, calculated on the basis of transactions executed by the Company in each section during the preceding calendar month.

The Exchange shall, not later than the fifth (5th) day of the month following the reporting month, provide the Company with the Services Acceptance Certificate duly executed by the Exchange and the corresponding invoice for payment.

The Company shall settle the invoice issued by the Exchange within ten (10) banking days from the date of its issuance. The Company's obligations to pay the Exchange Fee shall be considered fulfilled on the date the funds are credited to the Exchange's bank correspondent account.

5. For the purposes of performing obligations under this Agreement, the Exchange and the Company may exchange messages via email, including correspondence and/or documents referred to in Clause 4 of this Agreement.

The email addresses for correspondence and/or document exchange shall be determined for the Company based on the information provided in the Questionnaire, established by the Regulations on Provision of Documents for Admission to SPIMEX Organized Trading, and for the Exchange – “pay@s-pimex.ru” or “pay@spimex.com”, or an email address specifying the mailbox of an Exchange employee and the domain name “...@s-pimex.ru” or “...@spimex.com”. The date of delivery of a message from the Exchange to the Company by email shall be deemed the date on which the Exchange sends the respective message to the Company's email address.

6. Pursuant to this Agreement and the relevant agreements thereto, the Exchange shall be entitled to provide the Company with additional services directly related to participation in organized trading. The scope, type, and cost of the Exchange's additional services shall be approved by the Exchange's authorized body. The tariffs for additional services shall be published on the SPIMEX website in accordance with the Rules of Organized Trading.

7. This Agreement may be unilaterally terminated on any of the grounds provided for in the Rules of Organized Trading for the termination of admission to SPIMEX organized trading, by sending a written notice to the other Party not later than 10 (ten) business days prior to the intended date of termination. The Parties may, by mutual consent, modify the aforementioned term.

The Agreement shall be deemed terminated as of the date specified in the notice. Upon termination of this Agreement, the Company shall settle all outstanding payments with the Exchange in respect of the Company's obligations to pay for the Exchange's services under this Agreement.

8. This Agreement shall become effective as of the date of its execution by the Parties. This Agreement is concluded for an indefinite term.

9. This Agreement is executed in two counterparts, each having equal legal force: one counterpart for the Company and one counterpart for the Exchange. Any and all amendments and supplements to this Agreement shall constitute annexes hereto and shall form an integral part of this Agreement.

Addresses and Bank Details of the Parties

The Exchange:
The Saint Petersburg International Mercantile
Exchange
OGRN (Primary State Registration Number):
1089847188903
INN/KPP 7840389730/784001001
Registered address and postal address:
69–71 Marata Street, Building A, Room 7-N, Saint
Petersburg 191119
24 Timura Frunze St., Moscow 119021
e-mail:
info@spimex.com; clients@spimex.com
Bank details:

The Company:

OGRN

INN/KPP
Registered address and postal address:

e-mail:

Bank details:

Settlement account: 40701810700000000004
with Nonbank Financial Institution - Central
Counterparty "RDC" (Joint Stock Company)
Correspondent account: 30103810145250000053,

BIC 044525053

Position:

_____/_____/

_____20_____

Position:

_____/_____/

_____20_____

AGREEMENT NO.
on Provision of Services for Conduct of Organized Trading

Moscow

The Saint Petersburg International Mercantile Exchange (SPIMEX), hereinafter referred to as the Exchange, represented by _____, acting on the basis of _____, on the one hand, and _____, hereinafter referred to as the Company, represented by _____, acting on the basis of _____, on the other hand, collectively referred to as the Parties, have entered into this Agreement on the Provision of Services for Conduct of Organized Trading (hereinafter – the Agreement) as follows:

1. The Exchange shall undertake to provide the Company on a regular (systematic) basis with services for conducting organized trading in the SPIMEX _____ Section in accordance with the Rules of Admission to Organized Trading of the Saint Petersburg International Mercantile Exchange, the Rules of Organized Trading in the SPIMEX _____ Section, and other SPIMEX internal documents (hereinafter – the Rules of Organized Trading), adopted in accordance with the requirements of the legislation of the Russian Federation and the SPIMEX Articles of Association. The Company shall undertake to make payment for the services specified herein.

2. This Agreement is executed by the Company's accession hereto. The terms, procedures for the provision of services, their composition and payment procedures, and other rights and obligations related to the conduct of organized trading, as established by the Rules of Organized Trading, shall form an integral part of this Agreement. The terms and definitions used in this Agreement shall have the meanings established in the SPIMEX Rules of Organized Trading, as well as in the laws and other regulations of the Russian Federation.

3. Pursuant to the Rules of Admission to Organized Trading of the Saint Petersburg International Mercantile Exchange and based on this Agreement, the Exchange shall undertake to admit the Company to organized trading in the Non-Resident Section Member category and provide it with the right to participate in organized trading in the SPIMEX _____ Section. The Company shall undertake to pay the fee for the right to participate in organized trading as a Non-Resident Section Member in the amount of _____ (_____) rubles, VAT free.

The Company shall pay the fee within 15 (fifteen) business days from the date of signing this Agreement by the Parties. Should the fee for the right to participate in organized trading not be received in the Exchange's settlement account within the stipulated period, this Agreement shall be considered terminated, and the right of admission to trading shall not be granted as of the day immediately following the last day of the payment term.

The Company shall pay the fee for the right to participate in organized trading in full by means of a non-cash transfer to the Exchange's settlement account specified herein. The Exchange may unilaterally amend its banking details, provided that the Company is duly notified in writing of any such change.

The Company shall be deemed admitted and granted the right to participate in organized trading on the date when the fee for such participation is credited to the Exchange's settlement account.

4. Pursuant to this Agreement, the Company is obliged to pay a fee for the registration of contracts executed during organized trading, hereinafter referred to as the Exchange Fee.

The tariffs (amounts) of the Exchange Fee shall be determined by resolutions of the Exchange's authorized bodies and published on the SPIMEX website.

The Company shall pay the Exchange Fee monthly, calculated on the basis of transactions executed by the Company in the _____ Section during the preceding calendar month.

The Exchange shall, not later than the fifth (5th) day of the month following the reporting month, provide the Company with the Acceptance Certificate duly executed by the Exchange and the corresponding invoice for payment.

The Company shall settle the invoice issued by the Exchange within ten (10) banking days from the date of its issuance. The Company's obligations to pay the Exchange Fee shall be considered fulfilled on the date the funds are credited to the Exchange's bank correspondent account.

5. For the purposes of performing obligations under this Agreement, the Exchange and the Company may exchange messages via email, including correspondence and/or documents referred to in Clause 4 of this Agreement.

The email addresses for correspondence and/or document exchange shall be determined for the Company based on the information provided in the Questionnaire, established by the Regulations on Provision of Documents for Admission to SPIMEX Organized Trading, and for the Exchange – "pay@s-pimex.ru" or "pay@spimex.com", or an email address specifying the mailbox of an Exchange employee and the domain name "...@s-pimex.ru" or "...@spimex.com". The date of delivery of a message from the Exchange to the Company by email shall be deemed the date on which the Exchange sends the respective message to the Company's email address.

6. Pursuant to this Agreement and the relevant agreements thereto, the Exchange shall be entitled to provide the Company with additional services directly related to participation in organized trading. The scope, type, and cost of the Exchange's additional services shall be approved by the Exchange's authorized body. The tariffs for additional services shall be published on the SPIMEX website in accordance with the Rules of Organized Trading.

7. This Agreement may be unilaterally terminated on any of the grounds provided for in the Rules of Organized Trading for the termination of admission to SPIMEX organized trading, by sending a written notice to the other Party not later than 10 (ten) business days prior to the intended date of termination. The Parties may, by mutual consent, modify the aforementioned term.

The Agreement shall be deemed terminated as of the date specified in the notice. Upon termination of this Agreement, the Company shall settle all outstanding payments with the Exchange in respect of the Company's obligations to pay for the Exchange's services under this Agreement.

8. This Agreement shall become effective as of the date of its execution by the Parties. This Agreement is concluded for an indefinite term.

9. This Agreement is executed in two counterparts, each having equal legal force: one counterpart for the Company and one counterpart for the Exchange. Any and all amendments and supplements to this Agreement shall constitute annexes hereto and shall form an integral part of this Agreement.

Addresses and Bank Details of the Parties

The Exchange:
The Saint Petersburg International Mercantile
Exchange
OGRN (Primary State Registration Number):
1089847188903
INN/KPP 7840389730/784001001
Registered address and postal address:
69–71 Marata Street, Building A, Room 7-N, Saint
Petersburg 191119
24 Timura Frunze St., Moscow 119021
e-mail:
info@spimex.com; clients@spimex.com
Bank details:
Settlement account: 40701810700000000004

The Company:

OGRN

INN/KPP
Registered address and postal address:

e-mail:

Bank details:

with Nonbank Financial Institution - Central
Counterparty "RDC" (Joint Stock Company)
Correspondent account: 30103810145250000053,

BIC 044525053

Position:

_____/_____/

_____20_____

Position:

_____/_____/

_____20_____

AGREEMENT NO.
on Provision of Services for Conduct of Organized Trading

Moscow

The Saint Petersburg International Mercantile Exchange (SPIMEX), hereinafter referred to as the Exchange, represented by _____, acting on the basis of _____, on the one hand, and _____, hereinafter referred to as the Company, represented by _____, acting on the basis of _____, on the other hand, collectively referred to as the Parties, have entered into this Agreement on the Provision of Services for Conduct of Organized Trading (hereinafter – the Agreement) as follows:

1. The Exchange shall undertake to provide the Company on a regular (systematic) basis with services for conducting organized trading in the SPIMEX _____ Section / Segment Section in accordance with the Rules of Admission to Organized Trading of the Saint Petersburg International Mercantile Exchange, the Rules of Organized Trading in the SPIMEX _____ Section, and other SPIMEX internal documents (hereinafter – the Rules of Organized Trading), adopted in accordance with the requirements of the legislation of the Russian Federation and the SPIMEX Articles of Association. The Company shall undertake to make payment for the services specified herein.

2. This Agreement is executed by the Company's accession hereto. The terms, procedures for the provision of services, their composition and payment procedures, and other rights and obligations related to the conduct of organized trading, as established by the Rules of Organized Trading, shall form an integral part of this Agreement. The terms and definitions used in this Agreement shall have the meanings established in the SPIMEX Rules of Organized Trading, as well as in the laws and other regulations of the Russian Federation.

3. Pursuant to the Rules of Admission to Organized Trading of the Saint Petersburg International Mercantile Exchange and based on this Agreement, the Exchange shall undertake to admit the Company to organized trading in the _____ category and provide it with the right to participate in organized trading in the SPIMEX _____ Section / Section Segment. The Company shall undertake to pay the fee for the right to participate in organized trading as _____ in the amount of _____ (_____) rubles, VAT free.

The Company shall pay the fee within 15 (fifteen) business days from the date of signing this Agreement by the Parties. Should the fee for the right to participate in organized trading not be received in the Exchange's settlement account within the stipulated period, this Agreement shall be considered terminated, and the right of admission to trading shall not be granted as of the day immediately following the last day of the payment term.

The Company shall pay the fee for the right to participate in organized trading in full by means of a non-cash transfer to the Exchange's settlement account specified herein. The Exchange may unilaterally amend its banking details, provided that the Company is duly notified in writing of any such change.

The Company shall be deemed admitted and granted the right to participate in organized trading on the date when the fee for such participation is credited to the Exchange's settlement account.

4. Pursuant to this Agreement, the Company is obliged to pay a fee for the registration of contracts executed during organized trading, hereinafter referred to as the Exchange Fee.

The tariffs (amounts) of the Exchange Fee shall be determined by resolutions of the Exchange's authorized bodies and published on the SPIMEX website.

The Company shall pay the Exchange Fee monthly, calculated on the basis of transactions executed by the Company in the _____ Section / Section Segment during the preceding calendar month.

The Exchange shall, not later than the fifth (5th) day of the month following the reporting month, provide the Company with the Acceptance Certificate duly executed by the Exchange and the corresponding invoice for payment.

The Company shall settle the invoice issued by the Exchange within ten (10) banking days from the date of its issuance. The Company's obligations to pay the Exchange Fee shall be considered fulfilled on the date the funds are credited to the Exchange's bank correspondent account.

5. For the purposes of performing obligations under this Agreement, the Exchange and the Company may exchange messages via email, including correspondence and/or documents referred to in Clause 4 of this Agreement.

The email addresses for correspondence and/or document exchange shall be determined for the Company based on the information provided in the Questionnaire, established by the Regulations on Provision of Documents for Admission to SPIMEX Organized Trading, and for the Exchange – “pay@s-pimex.ru” or “pay@spimex.com”, or an email address specifying the mailbox of an Exchange employee and the domain name “...@s-pimex.ru” or “...@spimex.com”. The date of delivery of a message from the Exchange to the Company by email shall be deemed the date on which the Exchange sends the respective message to the Company's email address.

6. Pursuant to this Agreement and the relevant agreements thereto, the Exchange shall be entitled to provide the Company with additional services directly related to participation in organized trading. The scope, type, and cost of the Exchange's additional services shall be approved by the Exchange's authorized body. The tariffs for additional services shall be published on the SPIMEX website in accordance with the Rules of Organized Trading.

7. This Agreement may be unilaterally terminated on any of the grounds provided for in the Rules of Organized Trading for the termination of admission to SPIMEX organized trading, by sending a written notice to the other Party not later than 10 (ten) business days prior to the intended date of termination. The Parties may, by mutual consent, modify the aforementioned term.

The Agreement shall be deemed terminated as of the date specified in the notice. Upon termination of this Agreement, the Company shall settle all outstanding payments with the Exchange in respect of the Company's obligations to pay for the Exchange's services under this Agreement.

8. The Agreement enters into force on the date of its signing by the Parties and is valid for one year with the right of renewal.

To extend the term of this Agreement, the Company shall, not later than fifteen (15) business days prior to its expiration, notify the Exchange in writing of its intention to renew the Agreement and submit to the Exchange the documents required by the Rules of Organized Trading for the mandatory periodic identification of a trading participant.

At the same time, a mandatory condition for the Agreement renewal for each subsequent year is to pay a fee _____ in the amount established by the decision of the authorized body of the Exchange before the expiration of the term of this Agreement to be entitled to participate in organized trading.

Upon fulfillment of the conditions set forth in this clause of the Agreement, the Agreement term shall be extended for an additional one (1) year. The procedure for the Agreement renewal may be applied repeatedly upon the expiration of each current term.

The Exchange may refuse to renew this Agreement for the Company on the grounds provided for in the Rules of Organized Trading to terminate admission to SPIMEX organized trading. In the event that the Exchange refuses to renew the Agreement for the Company, the Exchange shall notify the Company thereof in writing.

9. This Agreement is executed in two counterparts, each having equal legal force: one counterpart for the Company and one counterpart for the Exchange. Any and all amendments and supplements to this Agreement shall constitute annexes hereto and shall form an integral part of this Agreement.

Addresses and Bank Details of the Parties:

The Exchange:

The Saint Petersburg International Mercantile
Exchange

OGRN (Primary State Registration Number):
1089847188903

INN/KPP 7840389730/784001001

Registered address and postal address:
69–71 Marata Street, Building A, Room 7-N, Saint
Petersburg 191119

24 Timura Frunze St., Moscow 119021

e-mail:

info@spimex.com; clients@spimex.com

Bank details:

Settlement account: 40701810700000000004
with Nonbank Financial Institution - Central
Counterparty "RDC" (Joint Stock Company)

Correspondent account: 30103810145250000053,

BIC 044525053

Position:

_____/_____/_____

_____ 20____

The Company:

OGRN

INN/KPP

Registered address and postal address:

e-mail:

Bank details:

Position:

_____/_____/_____

_____ 20____

AGREEMENT NO.
on Provision of Services for Conduct of Organized Trading

Moscow

The Saint Petersburg International Mercantile Exchange (SPIMEX), hereinafter referred to as the Exchange, represented by _____, acting on the basis of _____, on the one hand, and _____, hereinafter referred to as the Company, represented by _____, acting on the basis of _____, on the other hand, collectively referred to as the Parties, have entered into this Agreement on the Provision of Services for Conduct of Organized Trading (hereinafter – the Agreement) as follows:

1. The Exchange shall undertake to provide the Company on a regular (systematic) basis with services for conducting organized trading in all SPIMEX Commodities Sections and all Segments of SPIMEX Commodities Sections (except the small wholesale Segment of the SPIMEX Refined Products Section) in accordance with the Rules of Admission to Organized Trading of the Saint Petersburg International Mercantile Exchange, the Rules of Organized Trading in each SPIMEX Commodity Section, and other SPIMEX internal documents (hereinafter – the Rules of Organized Trading), adopted in accordance with the requirements of the legislation of the Russian Federation and the SPIMEX Articles of Association. The Company shall undertake to make payment for the services specified herein.

2. This Agreement is executed by the Company's accession hereto. The terms, procedures for the provision of services, their composition and payment procedures, and other rights and obligations related to the conduct of organized trading, as established by the Rules of Organized Trading, shall form an integral part of this Agreement. The terms and definitions used in this Agreement shall have the meanings established in the SPIMEX Rules of Organized Trading, as well as in the laws and other regulations of the Russian Federation.

3. Pursuant to the Rules of Admission to Organized Trading of the Saint Petersburg International Mercantile Exchange and based on this Agreement, the Exchange shall undertake to admit the Company to organized trading in the _____ category and provide it with the right to participate in organized trading in all SPIMEX Commodities Sections and all Segments of SPIMEX Commodities Sections (except the small wholesale Segment of the SPIMEX Refined Products Section), and the Company shall undertake to pay the fee for the right to participate in organized trading as _____ in the amount of _____ (_____) rubles, VAT free.

The Company shall pay the fee within 15 (fifteen) business days from the date of signing this Agreement by the Parties. Should the fee for the right to participate in organized trading not be received in the Exchange's settlement account within the stipulated period, this Agreement shall be considered terminated, and the right of admission to trading shall not be granted as of the day immediately following the last day of the payment term.

The Company shall pay the fee for the right to participate in organized trading in full by means of a non-cash transfer to the Exchange's settlement account specified herein. The Exchange may unilaterally amend its banking details, provided that the Company is duly notified in writing of any such change.

The Company shall be deemed admitted and granted the right to participate in organized trading on the date when the fee for such participation is credited to the Exchange's settlement account.

4. Pursuant to this Agreement, the Company is obliged to pay a fee for the registration of contracts executed during organized trading, hereinafter referred to as the Exchange Fee.

The tariffs (amounts) of the Exchange Fee shall be determined by resolutions of the Exchange's authorized bodies and published on the SPIMEX website.

The Company shall pay the Exchange Fee monthly, calculated on the basis of transactions executed by the Company in each Commodity Section during the preceding calendar month.

The Exchange shall, not later than the fifth (5th) day of the month following the reporting month, provide the Company with the Acceptance Certificate duly executed by the Exchange and the corresponding invoice for payment.

The Company shall settle the invoice issued by the Exchange within ten (10) banking days from the date of its issuance. The Company's obligations to pay the Exchange Fee shall be considered fulfilled on the date the funds are credited to the Exchange's bank correspondent account.

5. For the purposes of performing obligations under this Agreement, the Exchange and the Company may exchange messages via email, including correspondence and/or documents referred to in Clause 4 of this Agreement.

The email addresses for correspondence and/or document exchange shall be determined for the Company based on the information provided in the Questionnaire, established by the Regulations on Provision of Documents for Admission to SPIMEX Organized Trading, and for the Exchange – "pay@s-pimex.ru" or "pay@spimex.com", or an email address specifying the mailbox of an Exchange employee and the domain name "...@s-pimex.ru" or "...@spimex.com". The date of delivery of a message from the Exchange to the Company by email shall be deemed the date on which the Exchange sends the respective message to the Company's email address.

6. Pursuant to this Agreement and the relevant agreements thereto, the Exchange shall be entitled to provide the Company with additional services directly related to participation in organized trading. The scope, type, and cost of the Exchange's additional services shall be approved by the Exchange's authorized body. The tariffs for additional services shall be published on the SPIMEX website in accordance with the Rules of Organized Trading.

7. This Agreement may be unilaterally terminated on any of the grounds provided for in the Rules of Organized Trading for the termination of admission to SPIMEX organized trading, by sending a written notice to the other Party not later than 10 (ten) business days prior to the intended date of termination. The Parties may, by mutual consent, modify the aforementioned term.

The Agreement shall be deemed terminated as of the date specified in the notice. Upon termination of this Agreement, the Company shall settle all outstanding payments with the Exchange in respect of the Company's obligations to pay for the Exchange's services under this Agreement.

8. The Agreement enters into force on the date of its signing by the Parties and is valid for one year with the right of renewal.

To extend the term of this Agreement, the Company shall, not later than fifteen (15) business days prior to its expiration, notify the Exchange in writing of its intention to renew the Agreement and submit to the Exchange the documents required by the Rules of Organized Trading for the mandatory periodic identification of a trading participant.

At the same time, a mandatory condition for the Agreement renewal for each subsequent year is to pay a fee _____ in the amount established by the decision of the authorized body of the Exchange before the expiration of the term of this Agreement to be entitled to participate in organized trading.

Upon fulfillment of the conditions set forth in this clause of the Agreement, the Agreement term shall be extended for an additional one (1) year. The procedure for the Agreement renewal may be applied repeatedly upon the expiration of each current term.

The Exchange may refuse to renew this Agreement for the Company on the grounds provided for in the Rules of Organized Trading to terminate admission to SPIMEX organized trading. In the event that the Exchange refuses to renew the Agreement for the Company, the Exchange shall notify the Company thereof in writing.

9. This Agreement is executed in two counterparts, each having equal legal force: one counterpart for the Company and one counterpart for the Exchange. Any and all amendments and supplements to this Agreement shall constitute annexes hereto and shall form an integral part of this Agreement.

Addresses and Bank Details of the Parties:

The Exchange:

The Saint Petersburg International Mercantile
Exchange

OGRN (Primary State Registration Number):
1089847188903

INN/KPP 7840389730/784001001

Registered address and postal address:
69–71 Marata Street, Building A, Room 7-N, Saint
Petersburg 191119

24 Timura Frunze St., Moscow 119021

e-mail:

info@spimex.com; clients@spimex.com

Bank details:

Settlement account: 40701810700000000004
with Nonbank Financial Institution - Central
Counterparty "RDC" (Joint Stock Company)
Correspondent account: 30103810145250000053,

BIC 044525053

Position:

_____ / _____ /

_____ 20____

The Company:

OGRN

INN/KPP

Registered address and postal address:

e-mail:

Bank details:

Position:

_____ / _____ /

_____ 20____

Agreement No.
on Provision to SPIMEX Trading Participant of Software and/or Technical Means for Remote
Access to Services Rendered by the Exchange

Moscow

The Saint Petersburg International Mercantile Exchange (hereinafter referred to as SPIMEX or the Exchange), represented by _____, acting on the basis of _____, on the one hand, and _____ (hereinafter referred to as the User), represented by _____, acting on the basis of _____, on the other hand, hereinafter collectively referred to as the Parties and individually as a Party, have entered into this Agreement No. _____ on Provision to SPIMEX Trading Participant of Software and/or Technical Means for Remote Access to Services Rendered by the Exchange (hereinafter referred to as the Service Agreement) as follows:

1. The Exchange shall undertake to provide the User with services granting access to the Exchange's software and/or technical means for remote access to the Exchange's services (hereinafter referred to as the Services) in accordance with the Terms and Conditions for Provision to SPIMEX Trading Participant of Software and/or Technical Means for Remote Access to Services Rendered by the Exchange (hereinafter referred to as the Terms). The User shall undertake to pay for the Services provided by the Exchange under this Service Agreement.

2. This Service Agreement is concluded by accession. The procedure for the provision of the Services, their scope, the payment terms, the rights and obligations of the Parties, as well as the Parties' liabilities, shall be governed by the Terms and are binding on the Parties. The Terms constitute an integral part of this Service Agreement. The terms used in this Service Agreement shall have the meanings set forth in the Terms.

3. The Terms are posted by the Exchange on the SPIMEX official website at <https://spimex.com> (hereinafter referred to as the SPIMEX website) and shall become binding on the Parties as of the date of signing this Service Agreement.

The fees for the Services provided to the User (hereinafter referred to as the Exchange Tariffs) are set forth in the SPIMEX Fees and Commissions Schedule posted on the SPIMEX website at <https://spimex.com/participant/tariff/>.

4. The Exchange shall be entitled to unilaterally amend and supplement the Terms and the Exchange Tariffs.

The Terms shall enter into force according to the procedure established by the authorized bodies of the Exchange. The Terms provisions that have come into force shall be binding on the Parties from the effective date of the revised Terms or any amendments and supplements thereto.

The Exchange shall post the text of the revised Terms or any amendments and supplements thereto on SPIMEX website, along with information on their effective date, not later than ten (10) calendar days prior to the date such amendments and supplements come into force.

Users shall be notified of any changes to the Exchange Tariffs within the timeframes and according to the procedure set forth by the Rules of Organized Trading.

5. Changes to the organizational and technical procedures for the operation of the User's Workstation, the Back-Office Services, and the SPIMEX IT Hardware and Software (ITHS), provided for under this Service Agreement, shall take effect on the next business day following the Exchange's notification of the User about such changes by posting the notice text on SPIMEX website.

6. If the User disagrees with the amendments made by the Exchange to the Terms, the User shall have the right to terminate the Service Agreement by notifying the Exchange in writing not later than five (5) business days prior to the intended termination date.

7. A User who is a Trading Participant in the categories of Non-Resident Temporary Exchange Member, Non-Resident Exchange Trade Visitor, Trade Visitor/Non-Resident Trade Visitor, Temporary Section Member/Non-Resident Temporary Section Member, or Section Segment Trade Visitor/Non-Resident Section Segment Trade Visitor shall, upon signing this Service Agreement, be obliged to make a security payment in accordance with the Terms.

8. In the event of any changes to addresses or bank details, including email addresses, the Parties shall notify each other in writing not later than five (5) calendar days from the date such changes occur.

9. In all other matters not regulated by the provisions of this Service Agreement or the Rules of Organized Trading, the Parties shall be guided by the laws of the Russian Federation.

10. This Service Agreement shall enter into force on the date of its signing and remain in effect until the termination of the Agreement on Provision of Services on Conduct of Organized Trading concluded by the User with the Exchange in one of the following categories: Exchange Member/Non-Resident Exchange Member, Section Member/Non-Resident Section Member, Special Section Member, Temporary Section Member/Non-Resident Temporary Section Member, Trade Visitor/Non-Resident Trade Visitor, Section Segment Trade Visitor/Non-Resident Section Segment Trade Visitor, or Non-Resident Temporary Exchange Member/ Non-Resident Exchange Trade Visitor.

11. This Service Agreement may be terminated by mutual agreement of the Parties. Each Party shall be entitled to unilaterally terminate this Service Agreement by sending written notice to the other Party not later than ten (10) business days prior to the intended termination date of the Service Agreement, except as provided for in Clause 6 herein. The Parties may, by mutual consent, modify the aforementioned term. The Service Agreement shall be deemed terminated as of the date specified in the notice.

12. Each Party shall also be entitled to unilaterally terminate this Service Agreement by sending written notice to the other Party not later than ten (10) business days prior to the intended termination date of the Service Agreement, except as provided for in Clause 6 herein.

13. As of the date of this Service Agreement termination, the remote access to the Exchange's services provided to the User under this Service Agreement shall be discontinued.

14. The termination of this Service Agreement shall not release the Parties from the fulfillment of obligations that arose prior to the termination of this Service Agreement.

15. This Service Agreement is executed in two counterparts, each having equal legal force, with one counterpart for each Party. One counterpart shall be kept by the Exchange, and the other by the User.

Addresses and Bank Details of the Parties:

The Exchange:

The Saint Petersburg International Mercantile Exchange

OGRN (Primary State Registration Number):
1089847188903

INN/KPP 7840389730/784001001

Registered address and postal address:
69–71 Marata Street, Building A, Room 7-N, Saint Petersburg 191119

24 Timura Frunze St., Moscow 119021

e-mail:

info@spimex.com; clients@spimex.com

Bank details:

Settlement account: 40701810700000000004
with Nonbank Financial Institution - Central Counterparty "RDC" (Joint Stock Company)

The User:

OGRN

INN/KPP

Registered address and postal address:

e-mail:

Bank details:

Correspondent account: 30103810145250000053,

BIC 044525053

Position:

_____/_____/

____ 20____

Position:

_____/_____/

____ 20____

Appendix 3
to Regulations on Provision of Documents
of the Saint Petersburg
International Mercantile Exchange

**The forms of documents to be submitted by the Trading Participant for inclusion in the List of
Trading Participants Acting on Behalf and for Account of Other Persons, and for posting the
corresponding information on SPIMEX website**

[ON THE ORGANIZATION'S LETTERHEAD]

Attn. SPIMEX CEO

Application

**for Inclusion in the List of Trading Participants Acting on Behalf and for Account of Other Persons,
of
the Saint Petersburg International Mercantile Exchange**

I hereby request to include

(the Applicant's name)

in the List of Trading Participants Acting on Behalf and for Account of Other Persons, of the Saint Petersburg International Mercantile Exchange, in the following SPIMEX Sections:

(Sections' names)

I do not object to the disclosure by SPIMEX of information on SPIMEX website regarding

(the Applicant's name)

the Trading Participant – a foreign entity acting on its own behalf and for the account of customers who are foreign entities. I hereby provide information on the terms of agreements concluded by the Trading Participant with Customers – foreign legal entities (these may be indicated in the application or attached to it: template contract forms; the basic rights and obligations of the seller and buyer; liability of the parties for non-performance or improper performance of contracts, etc.).

As the contact information of the Trading Participant, I request that SPIMEX publishes on its website the Applicant's Internet address: _____.

Position

(Head of the organization

or other authorized person)

_____/_____/

(signature)

(Full name)

_____ 20__

Documents issued, drawn up, or certified in accordance with foreign law according to the established form by competent authorities of foreign states outside the Russian Federation with respect to foreign persons shall be accepted by the Exchange if legalized, unless otherwise provided by an international convention, an international treaty of the Russian Federation, or federal law. Documents prepared in a foreign language must be submitted to the Exchange with a properly certified translation into Russian.

[ON THE ORGANIZATION'S LETTERHEAD]

Attn. SPIMEX CEO

Application**for the Publication of Information on SPIMEX Website as a List of Trading Participants Acting on
Behalf and for Account of Other Persons**

I hereby request that the Saint Petersburg International Mercantile Exchange publishes on its website information about

(the Applicant's name)

as a Trading Participant – a foreign legal entity acting on its own behalf and for the account of customers - foreign entities, in the SPIMEX Derivatives Section.

As the contact information of the Trading Participant, I request that SPIMEX publishes on its website the Applicant's Internet address: _____.

Position

(Head of the organization

or other authorized person)

_____/_____/

(signature)

(Full name)

_____ 20__

**The Forms of Documents Provided by Trading Participant to Terminate or Extend the Agreement
on Provision of Services for Conduct of Organized Trading**

Form 11

[ON THE ORGANIZATION'S LETTERHEAD]

Attn. SPIMEX CEO

APPLICATION
for Termination of the Agreement on Provision of Services for Conduct of Organized Trading

I hereby request to consider terminated the Agreement dated ____ 20 No. ____ on Provision
of Services on Conduct of Organized Trading concluded between the Saint Petersburg International
Mercantile Exchange and

(name of the Trading Participant)

from ____ **20** ____

(date of termination of the Agreement)

Position

(Head of the organization

or other authorized person)

_____/_____/

(signature)

(Full name)

____ 20__

[ON THE ORGANIZATION'S LETTERHEAD]

Attn. SPIMEX CEO

APPLICATION
for Extension of the Agreement on Provision of Services for Conduct of Organized Trading
concluded with SPIMEX

I hereby request to extend the Agreement on Provision of Services for Conduct of Organized Trading
No. _____ dated ____ 20__ (hereinafter – the Agreement)

(name of the organization - Trading Participant)

in accordance with the Agreement terms and the SPIMEX Rules of Organized Trading.

Position
(Head of the organization
or other authorized person)

_____/_____/_____
(signature) (Full name)

_____ 20__